



## PORTFOLIO PLANNING

### ENVIRONMENTAL, SOCIAL & GOVERNANCE (ESG) INVESTMENTS

Client Name:

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Adviser Name:

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Adviser Company:

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#### TAM ASSET MANAGEMENT LTD

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## FOR COMPLETION BY THE CLIENT

To use this questionnaire, answer the 19 questions below to indicate the level of concern you have for ESG factors in regards to your investments and whether you may wish to consider an ESG based investment portfolio.

QUESTIONS	VERY IMPORTANT	NO OPINION	NOT IMPORTANT
<b>How important is it to you that your investments avoid the following:</b>			
Animal testing for cosmetic purposes .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Armaments .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Fossil Fuels .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Gambling .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Nuclear Power .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Pornography .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Tobacco .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>How important is it to you that the companies you are investing in are addressing issues such as:</b>			
Community impact .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Energy use .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Equality and diversity .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Human rights .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Supply chain management .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Waste management .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Working conditions .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>How important is it to you that your investments are aligned to themes such as:</b>			
Digitalisation .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Cyber security .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Healthcare .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Renewable energy .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Sustainable agriculture .....	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>TOTAL</b>			

**If you answered 'very important' to 5 or more of the questions above you may wish to consider an ESG based investment portfolio. You may wish to consider an ESG based investment portfolio regardless of your answers above so please discuss all of your options further and in detail with your financial adviser.**

By signing below, you confirm that you have answered the questions above to the best of your ability.

Client Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## FOR COMPLETION BY THE ADVISER

This document is for guidance only. TAM does not attempt to evaluate or direct your client's concern for ESG factors in relation to their investments. By signing below, you the adviser confirm that you have considered these issues and will discuss them with your client.

Adviser Signature: \_\_\_\_\_ Date: \_\_\_\_\_

To electronically sign the declaration, please follow these steps:

1. Create an electronic signature image by using our online signpad [here](#) 2. Save the image to your device 3. Click on the signature box below 4. Browse and upload your new electronic signature image 5. Save the form